



**DEPARTMENT OF COMMERCE AND BUSINESS MANAGEMENT
FACULTY OF COMMERCE AND BUSINESS MANAGEMENT
INTEGRAL UNIVERSITY, LUCKNOW**

CAPITAL AND MONEY MARKET



Efficient financial systems are indispensable for speedy economic development. The financial system of a country is a conglomeration of sub market, viz. capital, money market, Forex, Commodity etc. The flow of funds in these markets is multi directional depending upon liquidity, risk profile, yield pattern, interest rate differential or arbitrage opportunities, regulatory restrictions etc. As the Indian economy gets integrated with the global economy empowered by increasingly sophisticated information and technology systems, there is an acute need for trained professionals to entrust important roles in all the spheres of the financial market activity. The money market is the trade in short-term debt. It is a constant flow of cash between governments, corporations, banks, and financial institutions, borrowing and lending for a term as short as overnight and no longer than a year. The capital market encompasses the trade in both stocks and bonds.

COURSE DURATION

Duration	: 30 hours
Registration	: 29 th June 2022 onwards
Commencement of Course	: 1 st July 2022
End of Course	: 13 th July 2022
Registration Link:	: https://forms.gle/8y4GVZNyiwPfzHUr9

KEY USPs:

- It provides understanding of basics of Indian Financial System (Markets, Intermediary, Instruments).
- Knowledge of operating pattern of Capital and money Market and risk associated with it. .
- It will be helpful for Students/working individuals who are aspirant of Banking, Insurance and Equity market.

LEARNING OUTCOMES

- Basic knowledge of Market Structure.
- Financial Intermediary operating in markets
- Understanding of Indian Financial System.
- Practical Decision-making skills
- Situational Analysis skills

COORDINATORS OF THE PROGRAMME

- Dr. Syed Afzal Ahmad, Assistant Professor, DCBM, Integral University.

NAME OF THE INSTRUCTORS

- Mr. Amit Kumar Goel Assistant Professor, DCBM, Integral University.
- Dr. Shariq Nadeem, Assistant Professor, DCBM, Integral University.
- Ms. Farheen Siddiqui, Assistant Professor, DCBM, Integral University.

COURSE CURRICULUM

MODULE 1 - Financial Intermediaries Framework

- Framework of Market Infrastructure Institutions (MII), Stock Exchanges Clearing Corporations, Custodians, – Depositories, Depository Participants, Registrars and Transfer Agents (RTA).

MODULE 2 – Primary Markets

- IPO, FPO, Offer for Sale, Private Placement, Rights Issue, Bonus Issue v – Prospectus, DRHP, Shelf Prospectus, Red Herring prospectus – Private Investment in Public Equity (PIPE) –Basis of Allotment – Book-building – Pre-issue and Post-issue activities, – Green-shoe Option

MODULE 3 – Secondary Markets

- Opening day (listing) – Continuing compliance obligations and disclosures: Post-listing activities, Corporate Governance Norms, Functioning of an Exchange: Margining, Trading, Clearing and Settlement, Trade Guarantee Fund, Trading Software, Arbitration Mechanism

MODULE 4 – Capital Market Investment Institutions

- Domestic Financial Institutions (DFI) , Qualified Institutional Buyers (QIB), Foreign Institutional Investors (FII) , Private Equity (PE), Angel Funds, Venture Capital (VC), Qualified Foreign Investors (QFI), Hedge Funds, Pension Funds

MODULE 5 – Capital Market Instruments

- Equities – Preference Shares, Corporate Debt :Non Convertible Debentures (NCD), Partly-and Fully-Convertible Debentures (PCD, FCD) – Bonds, Foreign Currency Convertible Bonds (FCCB) – Index futures (SENSEX, NIFTY), Currency futures – Exchange Traded Funds (ETF) – Warrants

Note:- Evaluation Scheme: To successfully complete the course and get certificate of completion the students need to complete the following.

1. Five module test
2. One Assignment

Student need to achieve minimum 50% in total for qualifying this course.